TR-370

Fixed Access Network Sharing - Architecture and Nodal Requirements

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TABLE OF CONTENTS

EXECUTIVE SUMMARY ................................................................................................................... 9

1 PURPOSE AND SCOPE .................................................................................................................. 10
   1.1 PURPOSE .................................................................................................................................. 10
   1.2 SCOPE ..................................................................................................................................... 10
   1.3 BUSINESS CONTEXT ................................................................................................................. 11

2 REFERENCES AND TERMINOLOGY ............................................................................................. 12
   2.1 CONVENTIONS ......................................................................................................................... 12
   2.2 REFERENCES ........................................................................................................................... 12
   2.3 DEFINITIONS .......................................................................................................................... 14
   2.4 ABBREVIATIONS ...................................................................................................................... 14

3 TECHNICAL REPORT IMPACT ...................................................................................................... 19
   3.1 ENERGY EFFICIENCY .............................................................................................................. 19
   3.2 IPv6 .......................................................................................................................................... 19
   3.3 SECURITY ............................................................................................................................... 19
   3.4 PRIVACY ................................................................................................................................... 19

4 ARCHITECTURE AND TOPOLOGIES ............................................................................................ 20
   4.1 ARCHITECTURE ....................................................................................................................... 20
   4.2 SHARING MODEL ................................................................................................................... 22
   4.3 NETWORK AND USER INTERFACES ....................................................................................... 25
   4.4 DEPLOYMENT MODELS ........................................................................................................... 25
   4.5 QoS / BANDWIDTH ALLOCATION MODELS .......................................................................... 27

5 SHARING ALTERNATIVES ............................................................................................................. 29
   5.1 MANAGEMENT SYSTEM .......................................................................................................... 29
      5.1.1 Management System Sharing Architecture ....................................................................... 30
      5.1.2 Centralized Management System .................................................................................... 31
      5.1.3 Resource Management .................................................................................................... 31
      5.1.4 Management System Sharing Functions ......................................................................... 32
   5.2 VIRTUAL NODE SHARING APPROACH .................................................................................... 33
      5.2.1 Virtual Access Node .......................................................................................................... 33
      5.2.2 Virtual Aggregation Node ................................................................................................. 39
      5.2.3 InP Port Mapper ................................................................................................................. 40
      5.2.4 Access Network Function as a Service ............................................................................. 44
      5.2.5 Relationship to ETSI NFV Architecture ......................................................................... 46
      5.2.6 VNO Traffic Encapsulation Models .................................................................................. 48

6 RELATION OF FANS TO THE ETSI NFV ARCHITECTURE ........................................................... 53
   6.1 FUNCTIONAL DOMAINS ............................................................................................................ 53
      6.1.1 NFV Infrastructure (NFVI) Domain .................................................................................. 55
      6.1.2 Virtualized Network Functions (VNFs) Domain ................................................................. 56
      6.1.3 NFV Management and Orchestration (MANO) Domain .................................................... 57
6.2 INTERFACES & REFERENCE POINTS ........................................................................ 58
  6.2.1 NFVI - Virtualized Infrastructure Manager (Nf-Vi) ................................................ 58
  6.2.2 VNF/EM - VNF Manager (Ve-Vnfm) ................................................................... 58
  6.2.3 OSS/BSS - NFV Management and Orchestration (Os-Ma) ......................................... 59
  6.2.4 OSS/BSS – Physical Infrastructure .......................................................................... 59
  6.2.5 Virtualization Layer - Hardware Resources (Vl-Ha) ................................................... 59
  6.2.6 VNF - NFV Infrastructure (Vn-Nf) ......................................................................... 59
  6.2.7 Infrastructure Network Domain - Existing Network (Ex-Nd) ....................................... 59
  6.2.8 NFV Infrastructure (Nd-Nd) .................................................................................... 59

6.3 NFV MANO ............................................................................................................. 60

7 TECHNICAL REQUIREMENTS .................................................................................. 62
  7.1 NETWORK REQUIREMENTS .................................................................................. 62
    7.1.1 Common Requirements ......................................................................................... 62
    7.1.2 Access Node ......................................................................................................... 62
    7.1.3 Aggregation Node ................................................................................................. 62
  7.2 FUNCTIONAL NODE REQUIREMENTS ................................................................. 63
    7.2.1 Common Requirements ......................................................................................... 63
    7.2.2 Access Node ......................................................................................................... 63
    7.2.3 Aggregation Node ................................................................................................. 64
  7.3 CENTRALIZED MANAGEMENT SYSTEM REQUIREMENTS .................................. 64

8 OAM AND OTHER OPERATIONAL ASPECTS .......................................................... 66
  8.1 ETHERNET OAM .................................................................................................... 66
  8.2 OTHER OPERATIONAL ASPECTS .......................................................................... 68
    8.2.1 Customer Relationship Management ...................................................................... 68
    8.2.2 Service Management and Operations .................................................................... 69
    8.2.3 Resource Management and Operations ................................................................... 69

9 PRIVACY AND SECURITY ......................................................................................... 71

APPENDIX I. ACCESS TECHNOLOGIES (INFORMATIVE) ........................................... 73
List of Figures

Figure 1 – Integrated Fixed Access Network approach ................................................. 21
Figure 2 – FANS Architecture scheme derived from TR-101 [2] and TR-178 [3] .............. 22
Figure 3 – Virtual Access Network concept .................................................................. 23
Figure 4 – FANS Physical Access Node Representation .................................................. 24
Figure 5 – FANS Chained Access Node Representation .................................................. 24
Figure 6 – FANS Interface Sharing ................................................................................. 25
Figure 7 – Interconnectivity Reference Architecture .......................................................... 25
Figure 8 – Reference Architecture and Protocol Stack Note: PPP/PPPoE are optional .... 26
Figure 9 – L2 NSP Wholesale Model (TR-178 [3]) .......................................................... 26
Figure 10 – Management System Overview ..................................................................... 29
Figure 11 – Abstraction / adaptation layer concept ............................................................ 30
Figure 12 – Deployment scenarios for Virtual Access Node Functions ............................... 33
Figure 13 – Virtual Access Node Model .......................................................................... 34
Figure 14 – vAN inside the Equipment ............................................................................ 36
Figure 15 – vAN in the Cloud ......................................................................................... 36
Figure 16 – vAN Automated Abstraction ........................................................................ 37
Figure 17 – Detailed Message Flow for the vAN Automated Abstraction ......................... 38
Figure 18 – Network Layers ............................................................................................ 39
Figure 19 – Deployment scenarios for Virtual Aggregation Node Functions ...................... 39
Figure 20 – InP Port Mapper for Dedicated ONU ............................................................... 40
Figure 21 – InP Port Mapper for Shared ONU ................................................................. 41
Figure 22 – Customer Migration in FANS ........................................................................ 41
Figure 23 – Virtual Port State Machine .......................................................................... 43
Figure 24 – Virtual Port State/Status of Customer Migration in FANS ............................... 43
Figure 25 – VNO Block Traffic when MAC Spoofing is Identified .................................... 44
Figure 26 - Access Network Function Virtualization and Allocation ................................. 45
Figure 27 – Applicability of ETSI NFV architecture for Fixed Access Network Sharing .... 46
Figure 28 – End-to-end VLAN schema for FANS ............................................................. 49
Figure 29 – Q-in-Q-in-Q frame ...................................................................................... 49
Figure 30 – Q-in-Q-in-Q VLAN frame detail ..................................................................... 50
Figure 31 – End-to-end MPLS schema for FANS ............................................................. 51
Figure 32 – End-to-end VXLAN schema for FANS ........................................................... 51
Figure 33 – VXLAN frame format .................................................................................... 52
Figure 34 – High-Level NFV Framework ....................................................................... 53
Figure 35 – NFV reference Architectural Framework and identification of NFVI Domains [13] .... 54
Figure 36 – Virtual Access Node Model .......................................................................... 55
Figure 37 – Comparing Virtualized Network Infrastructure domains .............................. 56
Figure 38 – Comparing Management Systems domains .................................................... 57
Figure 39 – Network Domain Reference Point Architecture [16] ...................................... 58
Figure 40 – Virtual Access Node model: Centralized Management System roles ............ 60
Figure 41 – Extension of TR-101 [2] to FANS: InP OAM .............................................. 67
Figure 42 – Extension of TR-101 [2] to FANS: VNO OAM ............................................ 67
Figure 43 – eTOM CRM Processes ................................................................................. 68
Figure 44 – eTOM SM&O Processes ................................................................................. 69
Figure 45 – eTOM RM&O Processes ........................................................................................................................................................................70
Figure 46 – FTTx Access Network Architecture ..................................................................................................................................................73
Figure 47 – FANS 1:1 VLAN Architecture Example ..............................................................................................................................................74
Figure 48 – FANS N:1 VLAN Architecture Example ..............................................................................................................................................75
Figure 49 – FANS TLS VLAN Architecture Example ............................................................................................................................................76
Figure 50 – Customers management in FANS FTTC/FTTdp/FTTB architectures .............................................................................................77
Figure 51 – Customers management in FANS FTTH architecture ....................................................................................................................77

List of Tables

Table 1 – Actors & Involved Processes: CRM ............................................................................................................................................................68
Table 2 – Actors & Involved Processes: SM&O .......................................................................................................................................................69
Table 3 – Actors & Involved Processes: RM&O .......................................................................................................................................................70
Executive Summary

This Technical Report specifies technical aspects associated with Fixed Access Network Sharing (FANS) that involve the access network (including access nodes and aggregation nodes). It focuses on the cases of Passive Optical Network (PON) and DSL and G. fast access technologies.

FANS present business opportunities supporting, the evolution of the fixed access network to enable new, dynamic service offerings, aligned with the Forum’s Broadband 20/20 vision, and business relationships. This Technical Report identifies a new type of Virtual Network Operator (VNO), while specifying the technical requirements associated with both the VNO and the Infrastructure network Provider (InP).

This Technical Report, based on TR-101 [2], TR-178 [3] and TR-156 [4], documents a set of architectures for sharing multi-service broadband access networks, implemented using legacy equipment or based on ETSI NFV virtualization standards. Starting from the above architectural models, this Technical Report defines topologies, deployment scenarios and specific requirements needed to successfully deploy a shared access network.

This Technical Report defines two models that both include a centralized management system capable of supporting a multi-vendor environment, with the goal of maintaining backwards compatibility in a shared access network infrastructure. The centralized management system is in charge of managing the network sharing and the above models are differentiated based on the operating methods.

The first solution relies on the centralized management system to perform the network slicing of existing (legacy) or new network equipment at the management system level (not directly in the equipment itself), while the second solution implements slicing on the equipment itself and also can use virtualization as described by ETSI NFV standards. The latter is also capable of coordinating the virtual Access Node (vAN) and virtual Aggregation Nodes (vAggN) instances of the different VNOs.

Finally the document also includes the OAM, privacy and security considerations necessary to support multi-operator access sharing.
1 Purpose and Scope

1.1 Purpose

“FANS - Architecture and Nodal Requirements” specifies the technical aspects associated with Fixed Access Network Sharing (FANS) that involve the access network, including both access and aggregation nodes. Slicing logically partitions and isolates network resources among Virtual Network Operators (VNOs). FANS Technical Report covers Passive Optical Network (PON) and DSL and G.fast networks, addressing typical infrastructures, topologies and deployment scenarios.

This Technical Report specifies technical aspects related to the migration of TR-101, TR-178, and TR-156 based architectures towards a shared, broadband access network that supports slicing for multi-tenant operation. Functionalities over and above TR-178 are identified. This includes specifying which access node functions would continue to be managed by the Infrastructure Provider (InP) and which would be managed by a VNO. Access and backhaul interface sharing may require additions to the required transport encapsulations, QoS and OAM capabilities.

“FANS - Architecture and Nodal Requirements” defines two different models for resources sharing or slicing:

- Management System based, which performs network slicing at management system level and not directly in the equipment itself.
- Virtual Access Node based, which extends the capabilities of physical access and aggregation nodes to support multiple, virtual partitions, each containing ports and forwarding resources directly managed by a VNO.

This Technical Report also identifies the relationship between FANS and the ETSI and BBF TR-359 NFV architecture.

For both the Management System and Virtual Access Node approaches, this Technical Report defines the architectural options and requirements intended for implementation on existing TR-101/TR-178 access networks through software upgrade, as well as requirements that would only apply to new access nodes.

Finally the document considers the security and privacy aspects necessary to support multi-operator access sharing/slicing.

1.2 Scope

The scope of “FANS - Architecture and Nodal Requirements” is to address Fixed Access Network Sharing with an analysis of the access network, in terms of the following functions:

- Physical and Logical Network Architecture
- Technical and Functional Node Requirements
- User/Network Interfaces (T, U / V, A10)
- Layer 2 interconnection
• Management Interfaces
• Virtual Node Framework and Interfaces
• Virtualization Techniques
• OAM and other Operational Aspects
• Privacy and Security

1.3 Business Context

The primary FANS scenario is related to VNOs and InP being different entities. In addition, this Technical Report specification can also apply to a network operator that wants to slice its own access network in order to offer services to different market segments (e.g., for residential or enterprise markets), and wants to be able to use a vertical structure within its organization for aspects related to the customers, services and resources.
2 References and Terminology

2.1 Conventions

In this Technical Report, several words are used to signify the requirements of the specification. These words are always capitalized. More information can be found be in RFC 2119 [1].

MUST This word, or the term “REQUIRED”, means that the definition is an absolute requirement of the specification.

MUST NOT This phrase means that the definition is an absolute prohibition of the specification.

SHOULD This word, or the term “RECOMMENDED”, means that there could exist valid reasons in particular circumstances to ignore this item, but the full implications need to be understood and carefully weighed before choosing a different course.

SHOULD NOT This phrase, or the phrase "NOT RECOMMENDED" means that there could exist valid reasons in particular circumstances when the particular behavior is acceptable or even useful, but the full implications need to be understood and the case carefully weighed before implementing any behavior described with this label.

MAY This word, or the term “OPTIONAL”, means that this item is one of an allowed set of alternatives. An implementation that does not include this option MUST be prepared to inter-operate with another implementation that does include the option.

2.2 References

The following references are of relevance to this Technical Report. At the time of publication, the editions indicated were valid. All references are subject to revision; users of this Technical Report are therefore encouraged to investigate the possibility of applying the most recent edition of the references listed below.

A list of currently valid Broadband Forum Technical Reports is published at www.broadband-forum.org.

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<th>Source</th>
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<td>[1] RFC 2119</td>
<td>Key words for use in RFCs to Indicate Requirement Levels</td>
<td>IETF</td>
<td>1997</td>
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<tr>
<td>[2] TR-101i2</td>
<td>Migration to Ethernet Based DSL Aggregation</td>
<td>BBF</td>
<td>2011</td>
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[4] TR-156i3  
*Using GPON Access in the context of TR-101*  
BBF 2012

[5] 802.1Q-2014  
*Media Access Control (MAC) Bridges and Virtual Bridge Local Area Networks*  
IEEE 2014

[6] 802.1ad-2014  
*Virtual Bridged Local Area Networks Amendment 4: Provider Bridges*  
IEEE 2014

[7] TR-359  
*A Framework for Virtualization*  
BBF 2011

[8] TR-167i2  
*GPON-fed TR-101 Ethernet Access Node*  
BBF 2010

[9] TR-221  
*Technical Specifications for MPLS in Mobile Backhaul Networks*  
BBF 2011

[10] TR-198i2  
*DQS: DQM systems functional architecture and requirements*  
BBF 2012

*IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems*  
IEEE 2008

[12] GS NFV-INF 001 V1.1.1  
*Network Functions Virtualisation (NFV) – Infrastructure; Infrastructure Overview*  
ETSI 2014

[13] GS NFV 002 V1.2.1  
*Network Functions Virtualization (NFV) - Architectural Framework*  
ETSI 2014

[14] GS NFV-INF 003 V1.1.1  
*Network Functions Virtualization (NFV) – Infrastructure; Compute Domain*  
ETSI 2014

[15] GS NFV-INF 004 V1.1.1  
*Network Functions Virtualization (NFV) – Infrastructure; Hypervisor Domain*  
ETSI 2014

[16] GS NFV-INF 005 V1.1.1  
*Network Functions Virtualization (NFV) – Infrastructure; Network Domain*  
ETSI 2014

[17] GS NFV-MAN 001 V1.1.1  
*Network Functions Virtualization (NFV) - Management and Orchestration*  
ETSI 2014

[18] G.8013/Y.1731  
*OAM functions and mechanisms for Ethernet based networks*  
ITU-T 2013

[19] 802.1ag-2014  
*Connectivity Fault Management*  
IEEE 2014

[20] RFC 7348  
*Virtual eXtensible Local Area Network (VXLAN): A Framework for Overlaying Virtualised Layer 2 Networks over Layer 3 Networks*  
IETF 2015

[21] GB921  
*Business Process Framework (eTOM)*  
TM Forum 2016

[22] TR-197i2  
*DQS: DSL Quality Management Techniques and Nomenclature*  
BBF 2004
2.3 Definitions

The following terminology is used throughout this Technical Report.

**Access Network**
The Access Network encompasses the elements of the broadband network from the NID at the customer premises to a Broadband Network Gateway (not included). This network typically includes one or more types of Access Node and may include an Ethernet aggregation function.

**Access Node (AN)**
The Access Node may implement one or more access technologies based on copper or fiber. It may also aggregate traffic from other access nodes. It can be placed in a variety of locations from climate controlled (central) offices to outside environments that require climate hardening of the equipment to avoid the need for additional cabinets or enclosures. As per TR-156 a PON Access Node is a logical entity whose functions are distributed between the OLT and ONU.

**Aggregation Network**
The part of the network between the Access Node and the Broadband Network Gateway(s).

**Aggregation Node (AggN)**
The Aggregation Node aggregates traffic from multiple Access Nodes.

**Infrastructure Provider (InP)**
The Infrastructure Provider is responsible for maintaining the physical network resources of the network. An InP can make resources available to Virtual Network Operators (VNOs).

**Virtual Access Network**
The Virtual Access Network is a virtual representation of a portion of a shared physical access network. Virtual access networks are defined by an Infrastructure Provider (InP) and can be controlled and managed by Virtual Network Operators (VNOs).

**virtual Access Node (vAN)**
The abstraction of the Access Node element as seen by a VNO.

**virtual Aggregation Node (vAggN)**
The abstraction of the Aggregation Node element as seen by a VNO.

**Virtual Network Operator (VNO)**
The Virtual Network Operator operates, controls, and manages the Virtual Access Network. The VNO can be a business entity separate from the InP, or can be an separate business entity within the InP.

2.4 Abbreviations

This Technical Report uses the following abbreviations:

- AAA: Authentication Authorization Accounting
- ADSL: Asymmetric Digital Subscriber Line
- AggN: Aggregation Node
<table>
<thead>
<tr>
<th>Acronym</th>
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<tr>
<td>AN</td>
<td>Access Node</td>
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<tr>
<td>API</td>
<td>Application Programming Interface</td>
</tr>
<tr>
<td>ATM</td>
<td>Asynchronous Transfer Mode</td>
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<tr>
<td>BGP</td>
<td>Border Gateway Protocol</td>
</tr>
<tr>
<td>BNG</td>
<td>Broadband Network Gateway</td>
</tr>
<tr>
<td>BSS</td>
<td>Business Support System</td>
</tr>
<tr>
<td>CO</td>
<td>Central Office</td>
</tr>
<tr>
<td>CoS</td>
<td>Class of Service</td>
</tr>
<tr>
<td>CPE</td>
<td>Customer Premises Equipment</td>
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<tr>
<td>CPU</td>
<td>Central Processing Unit</td>
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<tr>
<td>DCF</td>
<td>Data Collection Function</td>
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<tr>
<td>DDoS</td>
<td>Distributed Denial of Service</td>
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<tr>
<td>DHCP</td>
<td>Dynamic Host Configuration Protocol</td>
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<tr>
<td>DQM</td>
<td>DSL Quality Management</td>
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<tr>
<td>DQS</td>
<td>DSL Quality Suite</td>
</tr>
<tr>
<td>DSL</td>
<td>Digital Subscriber Line</td>
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<tr>
<td>DSLAM</td>
<td>Digital Subscriber Line Access Multiplexer</td>
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<tr>
<td>DSM</td>
<td>Dynamic Spectrum Management</td>
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<tr>
<td>DWDM</td>
<td>Dense Wavelength Division Multiplexing</td>
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<td>EM</td>
<td>Element Manager</td>
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<td>EMS</td>
<td>Element Management System</td>
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<tr>
<td>E-NNI</td>
<td>External Network to Network Interface</td>
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<td>ETSI</td>
<td>European Telecommunications Standards Institute</td>
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<tr>
<td>E2E</td>
<td>End To End</td>
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<tr>
<td>eTOM</td>
<td>Enhanced Telecom Operations Map</td>
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<tr>
<td>FANS</td>
<td>Fixed Access Network Sharing</td>
</tr>
<tr>
<td>FTTB</td>
<td>Fiber To The Building</td>
</tr>
<tr>
<td>FTTC</td>
<td>Fiber To The Curb/Cabinet</td>
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<tr>
<td>FTTdp</td>
<td>Fiber To The Distribution Point</td>
</tr>
<tr>
<td>FTTH</td>
<td>Fiber To The Home</td>
</tr>
<tr>
<td>FTTx</td>
<td>Fiber To The x (generalization for several types of fiber deployment)</td>
</tr>
<tr>
<td>GEM</td>
<td>GPON Encapsulation Method</td>
</tr>
<tr>
<td>GPON</td>
<td>Gigabit Passive Optical Network</td>
</tr>
<tr>
<td>IEEE</td>
<td>Institute of Electrical and Electronics Engineers</td>
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<tr>
<td>IETF</td>
<td>Internet Engineering Task Force</td>
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<tr>
<td>IGMP</td>
<td>Internet Group Management Protocol</td>
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NTU  Network Terminal Unit
NVE  Network Virtual Element
OAM  Operation, Administration and Maintenance
ODN  Optical Distribution Node
OLT  Optical Line Termination
ONT  Optical Network Terminator
ONU  Optical Network Unit
OSS  Operational Support System
O-Tag Operator Tag
O-VLAN Operator VLAN
PABX Private Automatic Branch eXchange
pAN  Physical Access Node
PCE  Power Control Entity
PCP  Priority Code Point
PE   Provider Edge
PHY  Physical
PNF  Physical Network Function
PON  Passive Optical Network
POP  Point Of Presence
PPP  Point-to-Point Protocol
PPPoE Point-to-Point Protocol over Ethernet
PtP  Point-to-Point
PTP  Precision Timing Protocol
QoS  Quality of Service
RG   Residential Gateway
SBI  Southbound Interface
SDH  Synchronous Digital Hierarchy
SDN  Software Defined Networking
SHDSL Single-Pair High-Speed DSL
SLA  Service Level Agreement
SNI  Service Node Interface
SW   Switch
TE   Traffic Engineering
TLS  Transparent LAN Services
TR   Technical Report
UNI  User-to-Network Interface
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<td>VNF</td>
<td>Virtual Network Function</td>
</tr>
<tr>
<td>VNFM</td>
<td>Virtual Network Function Management</td>
</tr>
<tr>
<td>VNI</td>
<td>VXLAN Network Identifier</td>
</tr>
<tr>
<td>VNO</td>
<td>Virtual Network Operator</td>
</tr>
<tr>
<td>VPN</td>
<td>Virtual Private Network</td>
</tr>
<tr>
<td>VTEP</td>
<td>VXLAN Tunnel End Point</td>
</tr>
<tr>
<td>vRG</td>
<td>Virtual Residential Gateway</td>
</tr>
<tr>
<td>VXLAN</td>
<td>Virtual Extensible LAN</td>
</tr>
<tr>
<td>WDM</td>
<td>Wavelength Division Multiplexing</td>
</tr>
<tr>
<td>xDSL</td>
<td>Any Digital Subscriber Line Service</td>
</tr>
</tbody>
</table>
3 Technical Report Impact

3.1 Energy Efficiency

FANS introduces the concept of Fixed Access Network Sharing that splits the physical access network into a number of virtual access networks, which can be shared by multiple Virtual Network Operators (VNOs). By allowing multiple VNOs to share a single physical network infrastructure, FANS enables energy efficiency improvements in the network.

3.2 IPv6

FANS uses current specifications of IPv6 and no specific impact is foreseen. Sharing methods in this document are at Layer 2, so each VNO can have its own specific IP range, both IPv4 and IPv6.

3.3 Security

Sharing the same infrastructure among different operators can create issues of security. In order to address these, it is necessary to have robust methods for isolating the resources, including data, control and management planes, of all operators. The document provides recommendations to address security issues.

3.4 Privacy

Sharing the same infrastructure between different operators can create issues of customer privacy. It is necessary to define methods for isolating the control and management planes of all operators as well as customers’ networks and information. The document will provide recommendations to address privacy issues.
4 Architecture and Topologies

The accelerating demand for capacity and the need for new business and service models is forcing network operators to seek cost-effective ways to modernize their networks.

The traditional model of single ownership of all the physical network elements and network layers by network operators is beginning to be challenged. Competing operators may now wish to cooperate in network-sharing schemes.

The basic assertion is that, with advances in technology, access networks can be shared to a greater extent than they currently are. The current methods for access network sharing (e.g., Bitstream), where service packages are only differentiated by bandwidth, limit the ability of VNOs to provide richer service differentiation.

In FANS, a physical Access Network owned by an Infrastructure Provider (InP) can be shared by multiple Virtual Network Operators (VNOs). Each VNO operates and manages a virtual slice of the physical network to provide customized services. Each slice spans the physical network between the following reference points as defined in TR-101[2]/TR-178[3]/TR-156[4]:

- U/U1 between shared network and CPE
- A10 (E-NNI L2) between shared network and BNG

Neither the CPE nor the BNG are part of the Virtual Access Network – instead, each of these network elements is owned by the VNO.

FANS defines two different models for resources sharing:

- Management System [section 5.1]
- Virtual Node [section 5.2]

In the Management System model, network slicing is performed in the management plane. A centralized management system controls the physical network and provides a management view to each VNO of its own network slice. The centralized management system includes an abstraction layer that maps each virtual network to the physical network. In this model, the devices in the physical network are unaware of the virtual slices, and each VNO is unaware of resources outside its own slice. This model is well suited to access networks which still have legacy devices.

In the Virtual Access Node model, network slicing is performed in both the management and data planes. In the Virtual Access Network, each VNO controls virtual Access Nodes (vANs) as well as potentially other virtualized functions in the data plane. This model allows a broader variety of functions to be supported by each VNO.

4.1 Architecture

Figure 1 shows a high level perspective of the access network. The main network elements in a fixed broadband network are:

- Customer Premises Equipment (CPE)
- Access Node (AN)
Fixed Access Network Sharing as specified in this Technical Report does not involve the CPE and BNG; it covers the Access and Aggregation Nodes and all the related interfaces towards the CPE and BNG.

It is important to ensure that connectivity is always available between the ANs and BNGs. Redundant physical paths are required to achieve the highest level of availability between a BNG and AN and this usually requires a protocol to route around failed paths. Typically this is based on a Provider Ethernet or Multi-Protocol Label Switching (MPLS) network with redundancy in the core.

Several BNGs, central office ANs and AN options can coexist in the same network, as shown in Figure 2.

Va is the reference point at which the first level of Ethernet aggregation and the rest of the network interconnect. It may or may not be external to the Access Node and it can instantiate logical interfaces such as an I-NNI and/or can instantiate business interfaces such as an E-NNI-L2. In TR-178 [3], an Access Node with an internal Va reference point uses the V reference point for its uplinks.

Within the core network, the migration toward an IP/MPLS infrastructure has been underway for some time. IP/MPLS is ideally suited for such migration because it offers the benefits of an IP-centric control plane while still being able to manage L2 transport services such as ATM, Frame Relay, and Ethernet. It also supports L3 services such as VPNs based on the Border Gateway Protocol (BGP), and can provide end-to-end Quality of Service (QoS) via Traffic Engineering. However, neither the ATM nor the L3 reference points (E-NNI L1 and E-NNI L3) are in the scope of access network sharing as defined in this Technical Report.

- Aggregation Node (AggN)
- Broadband Network Gateway (BNG)
Figure 2 – FANS Architecture scheme derived from TR-101 [2] and TR-178 [3]

Figure 2 shows the reference points and the possible interconnection between InP and VNOs at A10 or V/Va reference point. The traffic coming from U/U1 interfaces can be delivered to VNOs at V/Va reference point if VNOs directly interconnect the InP infrastructure at Access Node or at A10 interface if it interconnect at Aggregation Node.

4.2 Sharing Model

In current fixed access networks, network elements are usually “closed” systems, with vendor-specific control interfaces. Therefore once deployed, it is quite difficult for the current network infrastructure to evolve. Those network nodes are designed to meet the features and requirements of the services offered by the various operators, such as:

- real time performance
- resiliency and redundancy
- manageability
- capacity and load balancing mechanism

Nowadays the challenge is to fulfil the above requirements in a more elastic, flexible and scalable way. This could be done by introducing innovative IT solutions and technologies into the Telco environment, using virtualization techniques. A physical access network can be ‘logically separated’ into multiple Virtual Networks, allowing two new types of network operator:

- Infrastructure Provider (InP)
- Virtual Network Operator (VNO)
The InP is responsible for deploying and managing the physical network, in particular:
- Enabling physical resource slicing and carrying out the slicing
- Providing virtual resource controlling APIs to the VNO

The VNO leases resources from InPs and creates Virtual Access Networks by deploying customized protocols. The VNO’s main functions are to:
- Operate, control, and manage their own virtual network
- Provide customized services

A VNO typically would not have any technical facilities or technical support, instead they would rely on support delivered by infrastructure providers.

A VNO asks the InP to allocate the resources to form their Virtual Access Network; more than one Virtual Access Network can coexist on the same InP infrastructure. As a consequence, the InP must guarantee the right bandwidth for each VNO, without impacting the other Virtual Access Networks in all situations, since the coverage and topology of the Virtual Access Network can be dynamic, based upon VNO demand.

Current access network architecture typically requires at least one physical access network per operator. FANS system allows hosting multiple VNOs on a single physical AN. Figure 4 shows a typical layout for the AN used in a FANS scenario.

As in traditional architectures, there are access nodes capable of supporting all the widely deployed access technologies as well as the newly emerging ones including Subtending Access Node, as shown in Figure 5.
The customers lines terminate directly on the physical ports of the host node and the scope of the customer VLANs is local to the operator that provides their service. As shown in Figure 4 and Figure 5, two VLANs numbered (“101”) are present, related to customers handled by different VNOs (VNO1 and VNO3 respectively) on different access node ports.

There are two scenarios with regard to how a VNO may treat the bandwidth allocated to their Virtual Access Network:

- A VNO may care only about the available aggregate bandwidth within their Virtual Access Network. This aggregate bandwidth must meet the Service Level Agreement between the InP and VNO, but performance of individual flows within the aggregate is uncontrolled.
- A VNO may define their own forwarding policies for traffic delivery of their services. This forwarding mechanism may require support from the InP in the form of traffic management functions.

In the current access networks, traffic is forwarded based on VLAN ID, MAC address or IP address and the three priority bits (PCP) are used to give some traffic higher priority. In Figure 6 three VNOs share the same physical AN. Each VNO has some user ports connected to its subscribers. The number of ports and bandwidth requirements are laid down, through agreements between the VNO and InP. They need mechanisms to ensure these agreements can be honored.
### 4.3 Network and User Interfaces

As shown in the Figure 3, the Ethernet network infrastructure on which FANS is based, spreads from the A10 (ENNI-L2) reference point to the U/U1 reference point. Briefly:

- **A10 (or ENNI-L2)** interface is the demarcation point between the access network and VNO network. This interface supports services to both residential and business customers and it can also handle multiple QoS policies. Note that in some cases A10 is placed at an Access Node, in which case it is also the V/Va reference point.
- **U/U1** is located at the subscriber premise between the Access Node and the residential or routing gateway for residential or business services.

### 4.4 Deployment Models

Nowadays, the interconnectivity between a VNO network and the InP infrastructure can be defined at different levels, using different technologies:

- at AN (Ethernet)
- at Local PoP (Ethernet)
- at Regional PoP (Ethernet or IP, with the latter not in FANS scope)
- at National PoP (IP, not in FANS scope)

![Figure 7 – Interconnectivity Reference Architecture](image)

Figure 7 shows that the Ethernet interconnectivity is typically at Local or Regional level, while IP interconnectivity is typically at Regional or National level. FANS will only specify the following interconnectivity:

- At Regional level, there are distributed interconnection points at a number of regional nodes which act as aggregation points for all VNOs’ networks within a regional geographic area.
- Local level interconnect enables the InP to collect the aggregated traffic of all VNOs directly at the Access Node.
Figure 8 shows the reference architecture of a VNO, including the protocol stacks. The interconnectivity between VNO and InP networks is defined at the External Network to Network Interfaces (E-NNIs). E-NNI is intended to support the extension of Ethernet services across multiple Operators, and the TR-178 [3] standard defines A10 and V as reference points for these interfaces, as shown in Figure 9.

![Figure 8 – Reference Architecture and Protocol Stack](image)

Note: PPP/PPPoE are optional

Access networks may have different types of physical interfaces at the customer premises. Within the FANS context, fiber access technologies (FTTx) are considered and, for instance, where the Network Termination (NT) is tightly coupled to the access network (e.g., GPON), a deployment of a L2 NT in addition to a CPE can be required.

In general, in FTTx the distribution point varies with the legacy hardware and the topology of sites, leading to a variety of scenarios. Deployment options are also dependent on the physical layout of the existing infrastructure. Two main deployment options are considered for a virtual Access Node (vAN) in FANS:

- **PoP/Aggregation** – vAN is deployed in a Point of Presence or equivalent facility, and where potentially several thousands of optical fibers cables are terminated
- **Remote** – vAN is deployed in a data center within the Ethernet Access Network
In the remote deployment model, it is important to choose the correct location for the vAN, in terms of distance from the customer location. The maximum distance depends on the network performance requirements and SLAs. Typically, a remote option needs an excellent Quality of Service including low latency.

4.5 QoS / bandwidth allocation models

The FANS architecture enables VNOs to share the same physical network infrastructure. This includes sharing of the access links between the access node and the customer premises and the backhaul links within the broadband access network. Therefore the VNOs need to agree the allocation of capacity on these shared links with the InP.

Broadband internet services are typically offered with a high peak-to-mean bandwidth requirement. For example, consider a Fiber-to-the-Premises service marketed as 1 Gbit/s downstream and delivered over GPON infrastructure. Owing to statistical multiplexing, this service may be delivered with capacity overprovisioned both on the PON and on the backhaul. However, the service would also need to be able to burst to 1 Gbit/s on demand from the customer to deliver the marketed service.

Within the FANS architecture this service could be delivered in a number of ways:

- **Strict bandwidth partitioning**
  The Centralized Management System must reserve at least 1 Gigabit/s for the VNO on each network segment traversed by the customer service. This has the benefit that all traffic management can be performed within the VNO domain (including in stand-alone VNFs). However, it may result in significant stranded capacity within the access network.

  The stranding of capacity may be limited within the backhaul network. Provided that all VNOs are operating at scale (so the sum of peak average bandwidth per subscriber is in excess of the peak product rate) provisioning strict capacity backhaul circuits for each VNO may be an effective way to keep the management of QoS within the VNO’s control.

  Strict partitioning can be problematic on the GPON access segment which may need to serve up to 64 premises with a total downstream capacity of only 2.5Gbit/s. In practice this means that if service rates exceed 100Mbit/s downstream, VNOs must be offered some form of statistical access to the total capacity on the PON.

- **Flexible bandwidth allocation between VNOs**
  This is expected to be the more common model of deployment across an access segment (e.g. PON, FTTx backhaul to a CO). Capacity is allocated to the VNOs depending on both a Committed Information Rate and Excess Information Rate. These information rates could be overbooked as part of the Service Level Specification between the InP and VNO. If the shared link becomes congested, traffic management entities within the InP infrastructure manage the scheduling of traffic onto the link. The VNOs could mark traffic with classes of service and drop-precedence to influence this scheduling behavior.

  In case of the GPON deployment, the traffic would be scheduled in downstream and upstream according to the available bandwidth on the GPON. The VNOs would configure the relative
bandwidth profiles, classes of service and drop-precedence offered to each of their access services as part of provisioning via the Centralized Management System.
5 Sharing Alternatives

In the following sections two models for resources sharing/slicing are discussed:

- Management System
- Virtual Node

For both solutions, a management system enables multi-vendor support for the FANS scope. The main difference between these solutions is that the first one can manage existing (legacy) as well as new network equipment via a centralized management system, while the latter solution, based on the Virtual Node concept, introduces a high-level abstraction layer, using an NFV Orchestrator (NFVO), which orchestrates the Virtual Node instances of various operators. The Management System approach only manages the resources on behalf of VNOs, but the overall resources remain in common with the physical elements. With Virtual Node approach each physical element is sliced in multiple partitions and each VNO can access its own virtual resources.

5.1 Management System

The solution based on a Management System (MS) performs the network slicing at the management system level and not directly in the equipment itself.

![Management System Overview](image-url)

Figure 10 – Management System Overview
5.1.1 Management System Sharing Architecture

The MS abstracts the central sharing functionality, allowing a modular architecture of network resource management. The system can work with equipment from multiple vendors and it could be applied to both legacy and new types of network equipment. This allows FANS to operate in a provider-neutral and vendor-neutral manner that is capable of supporting multiple VNOs.

Management system sharing separates the management plane from the data plane, with sharing and network slicing performed by the management systems that support the management plane. The data plane can remain unchanged, and data plane functions such as packet forwarding continue to be performed in the network elements. Aspects of the control plane may also support sharing and network slicing functions.

In Figure 10, the Northbound Interfaces (NBIs) link the Centralized MS with VNOs management systems while Southbound Interfaces (SBIs) link it with network equipment and systems. The “External Interface” to the VNOs should be a standardized interface.

As shown in Figure 11, NBIs can be considered to connect to SBIs through an abstraction layer or an adaptation layer which converts signals on one side of the interface to equivalent signals on the other side of the interface. The abstraction or adaptation layer translates FANS transactions between the Southbound equipment interfaces and the Northbound interfaces to the VNOs. An abstraction layer hides the details of equipment interfaces to present a simplified interface toward management systems. An adaptation layer directly translates signals from one format to another format. Adapters can connect the various equipment interfaces on the SBI to the abstraction or adaptation layer. In this way, the NBI can provide data and services to VNOs that are independent of details of the actual equipment deployed.

Centralized data sharing could also be thought of as being implemented with the various parties writing to and reading from a logically centralized database. In this case all necessary management system functions are still in place, but the interfaces may resemble database read/write actions.
The data collection function (DCF) [10] collects data from network elements. There may be a local DCF, or DCFs, located near the equipment and probably within the InP domain, this can allow low-delay messaging and good scalability. In this case the data analysis functionality is centralized while data collection is distributed among the local data collectors. A local DCF separate from the management system would need a secure interface between itself and the management system; this interface could be standardized for FANS.

The transactions over the management-system based FANS NBI may either be simplified (coarse-grained) or parameter-level (fine-grained):

- **Simplified NBI transactions** can abstract both the data delivered to the VNOs into simpler summaries, and simplify the control transactions from VNOs into relatively simple “menu” choices or general indications of preferences such as profile selection. Data can be batched. Summaries of tests and diagnostics can be provided to the VNOs.

- **Parameter-level NBI transactions** simply relay requests for data and control to and from VNOs to the equipment, with little or no simplification or batching. There can be some combination of both simplified NBI transactions and parameter-level NBI transactions.

### 5.1.2 Centralized Management System

The Centralized MS covers and performs centralized functions, providing automated data from network elements (via Equipment Interfaces) to VNOs (via External Interfaces) for a centralized control and configuration of network elements.

As shown in Figure 10, within the Centralized MS there is an authorization engine and request management function to enforce policies and avoid potential conflicts or discrepancies in resource sharing or line settings among VNOs. A Centralized MS can provide for multi-tenancy, perform AAA functions, perform resource allocation and perform arbitration between the various parties.

The Centralized MS may run some functions common to multiple operators’ lines such as line diagnostics and optimization, including multi-line diagnostics and optimization. Moreover, Centralized MS algorithms can determine some of the finer configuration details. (AAE)

The management system is logically centralized and may be implemented in multiple physical devices consisting of distributed servers, cloud infrastructure, hosted service, etc.

### 5.1.3 Resource Management

Resource control allocates available resources such as network capacity, computing capacity and load balancing, and ensures that available resources are properly allocated.

For InPs, resources are generally network elements and network connections, including network interfaces, port assignments, VLAN assignments, internal network element bandwidth, network-facing bandwidth, access bandwidth, network-element internal computational capabilities, the size and frequency of admissible management messages, and the fiber or metallic facilities themselves as well as the management systems for these. Moreover, the resources of the Centralized MS itself may need to be controlled.

Current networks are constrained by limited network resources, and such constraints should be addressed when different VNOs share the same physical infrastructure. Thus, in the management system model, the services and resources should be agreed in advance between each VNO and the
InP, in order to assure appropriate allocations. This agreement can be indicated by an exchange of parameters via shared and open interfaces. VNO A is not allowed to access data about VNO B’s lines and cannot control VNO B’s lines. This allows VNO A to perform some control actions and line optimization operations on its lines, but it cannot perform any control on VNO B’s lines. However, via the FANS-based centralized management system, functions including analysis and diagnostics may access data and perform some control actions on all the VNOs’ lines.

### 5.1.4 Management System Sharing Functions

Management system sharing allows a single VNO to choose whether to use their own internal MS to support all or part of the MS functionality, or to rely on the centralized MS for supporting various functions. The centralized management system could provide part of the following functionalities to VNOs for their own slice: which include the following:

- Security, which includes AAA:
  - Authentication to verify user credentials
  - Authorization to admit requests and limit access
  - Accounting to maintain transactional records for billing and other purposes (AAA)
- Virtual Node configuration
- Line optimization for its own lines, without impacting the performances of the lines of other VNOs
- Testing and gathering of diagnostic data
- Performance monitoring
- Assign bandwidth, VLAN tags and internal AN forwarding cross-connects per virtual port

The following functionalities remain in the hands of InP:

- Network-element configuration
- Line optimization, including multi-line optimization across multiple VNOs
- Fault correlation, particularly for faults that occur on lines or equipment which impact multiple VNOs
- Maintain inventory, of the physical plant and equipment, as well as the virtual assignment of resources
- Maintain data needed to access VNOs and equipment
- Support an automated data clearinghouse that allows automated operations
- Provide data to assist VNOs with network planning and to assist in development of innovative services and differentiated services
- Assign and track port assignments on both the U-interface and V-interface sides of an AN
5.2 Virtual Node Sharing Approach

5.2.1 Virtual Access Node

The Virtual Access Node model is based on the paradigm that physical access nodes (e.g., AN, ONU or OLT) in the access network can be partitioned by an InP into multiple virtual Access Nodes (vANs), where each vAN is associated with a VNO. The virtual Access Node (vAN) element provides similar functions to those of a physical AN (pAN) but in a virtual environment. The solution is composed of a vAN for each VNO and this allows a separate management of user traffic.

In the traditional architecture, the access node is capable of supporting one or more of the widely deployed access technologies and services as well as the newly emerging ones. The vAN can be applied to any PHY-layer technology. vANs can be deployed as partitions on the physical AN, or on High-Volume servers in InP datacenters, as shown in Figure 12.

![Figure 12 – Deployment scenarios for Virtual Access Node Functions](image)

The two options have the following differences:

- vAN embedded in access node: The vAN implementation is provided by the InP. VNO configures vAN functions using Minf interface.
- vAN running on NFVI: The vAN implementation can be provided by the InP or VNO can deploy vAN software on High-Volume Servers. The Physical AN to vAN interface is Ex-Nd.

As result of applying the Virtual Access Node model, each VNO sees only its virtual representation of the physical hosts. Thus, the VNO can handle and provisioning its own virtual resources as it does in the traditional manner for the physical resources.

The Virtual Access Node solution model is depicted in Figure 13.
As shown in the above Figure 13, the solution is composed of the following components:

- Virtual Switch (within physical access node)
- Virtual Access Nodes (vANs) elements
- InP Port Mapper (within physical access node)
- Centralized Management System (MS)
- VNO Management System
- Interfaces

A Virtual Switch is a component of a hypervisor. It is located on the top of physical access node and it is responsible for forwarding the customer traffic ensuring traffic isolation.

A virtual Access Node (vAN) element is a Telco Application that represents the whole set of characteristics of a physical Access Node except the NICs and other physical interfaces.

The InP Port Mapper is a virtual entity used to map logical ports to the host physical ports at the U/U1 interface. Detailed information on InP Port Mapper is given in section 5.2.3.

The Centralized Management System (MS) is the main component of the model. It optimizes and orchestrates services between the network and the cloud, as well as resources across the end-to-end infrastructure. Centralized MS allows configuring network connectivity and services based on optimization depending on the pattern of workload, simplifying configurations, and enabling rapid provisioning of networks.

Detailed information on Centralized Management System are described in section 6.3.

Figure 13 also shows the interconnections between the various elements of the solution. Even if the architecture is not exactly the ETSI NFV reference architecture, the interfaces used in the Virtual Access Node model uses the same functionalities and so they are applied at the following reference points:

- Os-Ma-nfvo
- Minf
- Ve-Vnfm
These are the main interfaces representing the model. Other interfaces for managing the virtualized elements are described in section 6.2.

The VNO Management System is used to support various end-to-end telecommunication services. In general, it can be composed of Operations Support Systems (OSS) and Business Support Systems (BSS). An OSS covers at least the following five functions:

- Network management systems
- Service delivery
- Service fulfillment (including the network inventory, activation and provisioning)
- Service assurance
- Customer care

A BSS system usually support customer-facing activities, such as:

- Billing
- Order management
- Customer relationship management
- Call center automation

The Os-Ma-nfvo reference point is at the interface between VNO Management Systems and the Centralized MS. VNO operators access the Management System service portal via a web-based GUI (which uses this reference point to communicate to the Centralized MS) to perform lifecycle operations on Virtual Access Nodes and transport circuits (e.g. O-VLANS), such as instantiate, terminate, query, etc.

Minf reference point is used by VNO Management Systems to configure subscriber services (e.g. C-VLANs, QoS profiles, OAM) and communicate with the physical Access Node for configuration, diagnostic and line optimization of the node itself. Note that the allowed set of operations have to be agreed in advance with the InP.

Ve-Vnfm reference point is used to manage and perform lifecycle operations on vAN elements passing via the Centralized MS.

Nf-Vi reference point is used to manage the physical resources, networking resources and the hypervisor-accessed resources (e.g., compute, storage and networking, including InP Port Mapper and Virtual Switch).

The Nd-Nd reference point supports connectivity between vANs instances over multiple geographically separated sites.

The Ex-Nd reference point allows vANs to connect to physical Access Nodes.

It is important to note that, for both implementation schemes, the Virtual Switch and InP Port Mapper functions are resident in the physical access node where the customer lines terminate. This behavior is depicted in the following Figure 14 and Figure 15.

The Virtual Switch and InP Port Mapper functions implement the slicing system in the Virtual Access Node model.
5.2.1.1 An Example Procedure of Virtual Access Node Abstraction

A vAN can be abstracted at the time the VNO asks to lease the infrastructure access network. In this case, the InP implements the abstraction according to specific requirements from the VNO, including the virtual resources for the vAN, such as the virtual ports, network functions, etc. After the deployment, users on the related physical AN who belong to this VNO can access the network through the already created vAN.
Alternatively, it is possible to allocate resources for the Virtual Access Network on-demand. Figure 16 shows the automated vAN abstraction in the FANS environment.

In this case, the virtual resources of the vAN are not assigned at first. When users of the VNO order service, the VNO instantiates the service deployment and communicates with the centralized management system via shared and open interfaces. Some of the configuration parameters related to the vAN can be generated by the management and control system, which include the description of the mapping relationship of the physical port ID and the virtual port ID, as well as the virtual resources and customer line parameters required to support the user’s services. The virtual resources include at least one of the following:

- Virtual ports (user side ports or network side ports)
- Network functions
- Service functions or service function chain

Figure 16 – vAN Automated Abstraction

When receiving the DHCP packet sent by the user, the physical AN gets a virtual port ID according to the physical port which the user is connected to, by looking up the mapping relationship of the
physical port ID and the virtual port ID generated previously. This virtual port ID will be used in the VNO’s network. The physical AN forwards the DHCP packets with the virtual port ID to the BNG in the VNO’s network. After successful authentication, the BNG can get the configuration information of the vAN, which consists of the configuration file name and the IP address of the configuration server. Once the user’s IP address is allocated, the BNG adds the configuration information to the DHCP packet and sends it back to the physical AN. The physical AN acquires the vAN ID based on the virtual port ID, and requests the configuration data of the vAN from the configuration server with the configuration information obtained. If the vAN has not been instantiated yet for the VNO, the physical AN will initiate the vAN creation with the virtual resources allocated and the customer line configured. Otherwise, the virtual resources and the customer line parameters will be assigned to an existing vAN.

Note that the vAN created may reside inside the physical AN, or run in the datacenter/cloud. In the latter case, a tunnel between the physical AN and the vAN will also be setup at the same time.

The mapping between the physical port ID and the virtual port ID obtained above is then maintained by the InP Port Mapper.

The detailed message flow is depicted in Figure 17. The procedure is depicted regarding the vAN deployed as partitions on the physical AN. In case of vAN deployed in external data server, it is the DHCP VNF implementing the DHCP process.

Since the resources are allocated to the vAN only when required and most static resource allocations are avoided, costs can be reduced.
5.2.2 Virtual Aggregation Node

The Aggregation Node (AggN) aggregates traffic from multiple Access Nodes (AN) in the fixed aggregation network.

![Network Layers](image)

Figure 18 – Network Layers

There may be multiple layers of traffic aggregation. For instance, an Access Node may connect to a Central office terminal which in turn connects to an Ethernet Aggregation Switch, or multiple levels of Ethernet aggregation switches can exist.

The “Aggregation” function of the AggN can be implemented in one or more Virtual Network Functions (similarly to the virtual Access Node) in other physical node(s), or it can be logically implemented in the Central Office, as shown in Figure 19.

Note that Traffic Engineering (TE) capability, in virtual Aggregation Nodes is based on Operator VLAN (O-VLAN), MPLS identifiers or VXLAN tunnel as described in section 5.2.6.

![Deployment scenarios for Virtual Aggregation Node Functions](image)

Figure 19 – Deployment scenarios for Virtual Aggregation Node Functions

The virtual Aggregation Node (vAggN) element is technology agnostic and provides similar functions to those of a physical AggN but in a virtual environment. Its control function is provided by a logically centralized controller in the Centralized MS. In general, some node-related features can be implemented via a set of Virtual Network Functions (VNFs), such as:
• Ethernet bridging
• IPv4 and IPv6 routing
• Seamless MPLS
• MPLS and multicast forwarding
• Class of Service (CoS)
• OAM and network resiliency
• IEEE 1588v2 Precision Timing Protocol (PTP) [11]

5.2.3 InP Port Mapper

In the FANS scenario, physical nodes (e.g., DSLAM, ONU or OLT) in the access network can be abstracted by an InP into multiple virtual Access Nodes (vANs). As mentioned, vAN is a logical entity that represents a physical access node or part thereof, together with its virtual ports, which are mapped to physical customer ports. The virtual ports are identified through virtual port IDs.

The mapping between physical ports and virtual ports is maintained by an InP Port Mapper, managed by the Centralized MS. This mapping functionality managed by InP Port Mapper converts a physical port of the access node in the received control packets that include port information (e.g., DHCP, PPPoE) to a virtual port related to the vAN, and also converts a virtual port identity in the received control packets (e.g., DHCP, PPPoE) to a physical port of the access node. Two scenarios can be identified when abstracting the AN:

- Dedicated ONU – all the ONU logical/physical ports are assigned to a single VNO
- Shared ONU – ONU logical/physical ports are assigned to different VNOs

Note that some physical ports of the ONU can be hidden. The following Figure 20 and Figure 21 show the configuration table of InP Port Mapper for the two scenarios.

In case of the Dedicated ONU, all the physical ports owned by a single VNO can be grouped and exposed as a single entity by exposing the GEM logical ports as virtual ports.

![Figure 20 – InP Port Mapper for Dedicated ONU](image-url)
For both cases, the vAN has visibility of those virtual ports assigned to it. In essence, the InP Port Mapper is a powerful virtual switch and as such, provides network-level data routing and switching functionality between virtual and physical ports. The case of customer migrations between different VNOs terminating on the same physical access node is shown in Figure 22.
there is no need for manual intervention on that AN, just a simple change in the mapping between virtual and physical ports. The physical port which the customer is connected to remains the same after the migration.

Note that the InP Port Mapper is resident in the physical access node although the vAN is deployed in the InP’s datacenter.

Virtual Port state information is a convenient way of providing O&M status to the VNO. Providing a set of retrievable states, allows the VNO to manage virtual ports with regard to deployment, migration and trouble-shooting.

The InP Port Mapper establishes the relationship between physical ports of an Access Node and the virtual ports of a vAN. Virtual ports represent a group of physical InP ports to which customers are connected, and this mapping is retrievable by the Centralized MS. The Centralized MS is in charge of virtual port state management when the VNO leases resources from InPs. The VNO monitors and administrates virtual port state for most operations. When trouble-shooting network problems, the status message records and correlation between vAN virtual port and InP physical port may help to locate the problem.

The Port State of a virtual port is retrievable and settable by the Centralized MS. The following states represent the physical link connection of the port, port operational and administrative state exists when several states are valid simultaneously.

**Port State:**
- **LINKUP** – physical port has no alarm/defect, which implies the link connection is good.
- **LINKDOWN** – physical port has alarm/defect, which implies the link connection has failed.
- **PORTUP** – port is administratively up. This is the default state when a port is added, and it transits to the LINKUP/LINKDOWN/PORTDOWN state after system power-up.
- **PORTDOWN** – follows the LINKUP/LINKDOWN/PORTUP when port is administratively down.

The Port Status of a virtual port is transient and reported as event message which is retrievable on Centralized MS.

**Port Actions:**
- **ADD** – Add a virtual port to a given vAN.
- **DELETE** – Delete a virtual port from a given vAN.
- **MODIFY** – Bring virtual port administratively up or down.

The following Figure 23 demonstrates the virtual port state machine and associated status.
PORTDOWN

PORTUP

Entity not exist

1

Add

Modifier

Modifier

Modifier

Modifier

LINKUP

LINKDOWN

VPort mapped Logical/Physical port has NO alarm/defect

VPort mapped Logical/Physical port has alarm/defect

PORTDOWN

2

PORTUP

3

Add

Delete

Modify*

Modify*

Modify*

Modify*

Figure 23 – Virtual Port State Machine

Figure 24 demonstrates an example of customer migration which includes a virtual port state transitions and associated status in the case of a physical port released by a VNO and then leased by another VNO. The InP physical port “A” was leased to VNO1 (yellow) as VPort1, this network resource is then reallocated to VNO3 (red) as VPort20.

VNO1
Vport 1

LINKDOWN (optional) → PORTDOWN → DELETE*

VNO3
Vport 20

ADD* → PORTUP → LINKUP

Figure 24 – Virtual Port State/Status of Customer Migration in FANS
During the customer migration, VNO1 needs to take down virtual port1 in VNO1’s management system, and release the virtual port by deleting it from VNO1. VNO3 maps physical port “A” to VNO3’s management system as VPort20, takes the port up administratively, and then VPort20 appears as LINKUP or LINKDOWN depending on the condition of link connection. Note that these migrations should synchronize with InP Port Mapper table operation, and vice versa.

Figure 25 shows another case where a given VNO detects MAC spoofing and prompt action is taken to block this traffic by putting the virtual port VPort1 into Linkdown State.

Figure 25 – VNO Block Traffic when MAC Spoofing is Identified

In this case, the VNO detects that data from VPort1 and VPort2 has the same MAC address. As per the subscriber and VNO contract, only VPort1 is legitimately associated with a given MAC. The VNO blocks the data from VPort2 immediately and modifies the VNO MAC learning process accordingly so the port in not re-enabled.

### 5.2.4 Access Network Function as a Service

A vAN and its virtual ports can be deployed and maintained by an InP who implements the access network abstraction and slicing. Physical nodes in the access network can be combined or segregated to form different vANs. As part of ensuring network isolation, VNOs can control and manage their own vAN instances and virtual port IDs.

A vAN can be deployed as a single, complete AN virtual function or it can have a subset of access network functions (in control plane or data plane) to support different services as required by a given VNO. These functions are already defined in TR-101 [2], TR-178 [3], TR-156 [4], TR-167 [8] and TR-221 [9]. The granularity of the network function is flexible so that several functions can be combined as a service, as illustrated in Figure 26.
As a further example, the vAN is abstracted based on the physical OLT/ONU combination. The requirements from VNOs are that VNO1 wants to deploy a mobile backhaul service and VNO2 a residential service, (supposing the InP’s infrastructure has the capability to support these different requirements).

Based on the resources of the physical PON, InP will abstract one vAN which is vAN1 for VNO1, and another vAN which is vAN2 for VNO2.

In order to support the mobile backhaul service, InP will allocate one subset of network functions to vAN1 which includes clock synchronization, MPLS forwarding, and IP/MPLS signaling. For the residential service, InP will allocate another subset to the vAN2 which includes AAA authenticator/proxy, DHCP relay/proxy, IGMP proxy/snooping, flow classification and QoS mapping. The Optical Distribution Node (ODN) interface connects the OLT with one or more ONU/ONTs and packets arriving at the OLT will then be sent to the corresponding vAN for further protocol processing. The protocols that support the corresponding network function processing can be either instantiated on demand during vAN allocation or be pre-configured in the physical nodes. With this solution, different services can be provisioned through different vANs, but based on the same physical infrastructure.
5.2.5 Relationship to ETSI NFV Architecture

Figure 27 shows how the ETSI NFV architecture can be leveraged to enable FANS for both existing and new deployments.

The vRG and vBNG are not required as part of Fixed Access Network Sharing architecture. However in the case where the RG and BNG are virtualized, the vRG and vBNG functions may reside in the same NFVI which also hosts vAN functions, or in an NFVI in the VNO domain.

As can be seen, several of the concepts in Figure 27 are aligned with the Virtual Access Node Model, outline in Figure 13/Section 5.2.1.

The Access Node is shown to consist of two functions:

- The **Access Function** provides physical layer access (e.g. terminating the G.fast interface) and framing, offering an Ethernet layer 2 service towards the Forwarding Function
- The **Forwarding Function** provides connectivity across the aggregation network, via the NFVI-GW into the NFVI where some Virtual Access Node functions may be hosted. These tunnels are instantiated per Virtual Network Operator (VNO) and carry the traffic of one or more access lines (e.g. one or more UNIs on a GPON ONT) towards the vAN in the NFVI.

The NFVI maintains a set of VNFs that implement the service model for each of the VNOs. These VNFs may include the existing vRG (NERG), but may also include other VNFs that implement some of the functions that traditionally reside in the Access Node and/or the Broadband Network Gateway. In general, one or more subscriber management related features can be moved into the NFVI and implemented via a set of VNFs.
The management layer for this FANS model is based on ETSI NFV MANO (section 6.3). The MANO architecture is used for the service-related configuration, implemented through VNFs that are managed in the NFVI. An orchestration layer is expected between the VNOs and the VNFM.

Some of the subscriber management functions that could be considered to be moved into the NFVI are listed in subsection 5.2.5.1, while functions such as particularly configuration, may be performed in the InP domain or coordinated with the InP domain.

For multicast services it is better to maintain these functions as part of the Access Node platform. This avoids bandwidth inefficiencies, as replication needs to be done within the access network. Supporting multicast for multiple VNOs is possible using TR-101 [2] constructs (e.g., separate VLAN for multicast content per VNO).

5.2.5.1 Potential AN VNFs for Layer2+

- VLAN translation / addition / removal: the Access Node would focus on basic connectivity, whereas additional VLAN tagging could be performed in the NFVI
- Per subscriber QoS enforcement (e.g. policing or shaping), QoS policy enforcement, allocation of Quality of Service (QoS) and Class of Service (CoS) levels
- Port-based access control / authentication (e.g. by using a centralized 802.1x agent)
- Traffic management, traffic filtering, traffic shaping, flow control
- Traffic steering, forwarding, SDN
- Load balancing
- DHCP

5.2.5.2 Potential AN VNFs for Layers 1 and 2

- Control and configuration – Each VNO controls and configures their own virtual access node dataset of configuration objects
- Diagnostics and state information – Each VNO accesses virtual functions providing test, diagnostic, performance, and status information
- Management and Control of bandwidth allocation, such as configuring PON Dynamic Bandwidth Allocation (DBA)
- Traffic scheduling within VNO assigned transport service (O-VLAN / MPLS LSP / VXLAN) path

The following functions should be coordinated by a single centralized management system or by a single InP in most cases:

- Data sharing: here a centralized system links to virtual access node functions, each of which distributes control and data to each VNO
- On-line reconfiguration management
- Dynamic Spectrum Management (DSM) [22]
- Power Control Entity (PCE), cross-layer low-power mode control, for G.fast – there are a number of thresholds and other settings that can be varied to configure low-power mode on
individual transceivers and these settings and primitives can be determined in a virtualized power control entity and communicated to the transceivers

- Vectoring control and management – Virtualized functions can control part of the vectoring configuration, and could even calculate vectoring coefficients

### 5.2.6 VNO Traffic Encapsulation Models

VLANs play an integral role in the design and implementation of the FANS architecture. In the shared scenario, Internet services can have very different requirements and thus it is difficult to accommodate all these services (among different customers) in a single network.

To cope with this, a possible approach is to set up isolated networks for the different customers by using VLAN, MPLS or a VXLAN tunnel approaches. These tunneling approaches are implemented by the physical access and aggregation nodes. The tunnels are not visible to the VNO or any VNFs implementing the virtual access node. Selection of the tunneling mechanism will depend on the hardware capabilities of the physical access node.

#### 5.2.6.1 VLAN Tunnel

In the FANS context, the VLAN approach introduces “Operator VLAN (O-VLAN) Tag” tagging and forwarding rules. O-VLAN tag information is agreed between the VNO and InP and is added to the Ethernet frame at the interconnection point (at the A10 reference point). The following Figure 28 depicts the end-to-end VLAN scheme used in FANS.

In a network with multiple VLANs, it is important to correctly configure the VLANs which the network elements use to send management traffic.

Thus, it is necessary to extend the O-VLAN tag up to the vAN which represents the access point which terminates the customers’ lines, in order to handle the configuration of the same network element.

As shown in Figure 28, the C-VLAN tag information is transmitted through the network. In the downstream direction, the operator VLAN (O-VLAN) information is added to the Ethernet frame at the switch adjacent to the A10 reference point. These information tags remain up to the pAN. Conversely, in the upstream direction, the S-VLAN and O-VLAN tag information is added to the C-VLAN tag within the Ethernet frame at the pAN. It is important to note that O-VLAN information is discarded at the switch adjacent to the A10 reference, while the S-VLAN information continues to be forwarded in the VNO’s network.

Some operators use Q-in-Q (IEEE 802.1ad [6]) tunneling (which extends IEEE 802.1Q [5]) and VLAN translation to create L2 Ethernet connections. Q-in-Q increases the VLAN number to 16 million (4094 * 4094) which results in the Ethernet frame size being increased (from 1522 to 1526 bytes).
Figure 28 – End-to-end VLAN schema for FANS

Figure 29 – Q-in-Q-in-Q frame
The second tag is inserted in front of the first tag i.e. closer to the Ethernet header. Any third or subsequent tag imposition will be inserted in front, i.e. closest to the Ethernet header. The frame’s original EtherType is always located above all the tags, next to the payload, as shown in the following Figure 29.

The Q-in-Q-in-Q VLAN Tag is shown in Figure 30.

![Figure 30 – Q-in-Q-in-Q VLAN frame detail](image)

5.2.6.2 MPLS Tunnel

Another possible technique that could be used in the FANS scenario to manage the operators’ data flows, is a label-based switching technique, such as Multi-Protocol Label Switching (MPLS), as depicted in the following Figure 31.

The main difference between the MPLS scheme and the VLAN model is the presence of a MPLS tunnel (defined using LSP labels) which contains the C-VLAN tag and S-VLAN tag information.

As shown in Figure 31, the C-VLAN tag information is transmitted through the network. In the downstream direction, the MPLS LSP information is added to the Ethernet frame at the PE router adjacent to the A10 reference point. These information tags remain up to the pAN, that must act as PE router. Conversely, in the upstream direction, the S-VLAN information is added to the C-VLAN tag within the Ethernet frame at the vAN, while the MPLS LSP information is added to the Ethernet frame at the pAN. It is important to note that MPLS tag information is discarded at the switch adjacent to the A10 reference point, while the S-VLAN information continues to be forwarded in the VNO’s network. However, despite the flexible and scalable network architecture brought by about by MPLS, in a purely L2 evolution context, it might be useful to consider the use of the O-VLAN scheme rather than the L2.5 MPLS extension to the access network. Another motivation is that current access nodes may not support MPLS, but adding MPLS capability can increase the complexity and cost of the node.

5.2.6.3 VXLAN Tunnel

In addition to the O-VLAN and MPLS schemes, Virtual eXtensible Local Area Network (VXLAN) can also be deployed in the FANS scenario to separate the operator’s traffic. The end-to-end VXLAN scheme is depicted in Figure 32.
Figure 31 – End-to-end MPLS schema for FANS

Figure 32 – End-to-end VXLAN schema for FANS
VXLAN [20] is a tunneling scheme to overlay Layer 2 networks on top of Layer 3 networks, and the frame format is shown in Figure 33.

Each VXLAN overlay network is identified through a 24-bit segment ID, which is the VXLAN Network Identifier (VNI). This allows up to 16 million VXLAN overlay networks to coexist within the same administrative domain. The VNI and VXLAN related tunnel/outer header encapsulation are known only to the VXLAN Tunnel End Point (VTEP).

As shown in Figure 32, the C-VLAN tag information is transmitted through the network. In the downstream direction, the VXLAN tag information is added to the Ethernet frame at the switch adjacent to the A10 reference point, acting as a VTEP. These information tags remain up to the pAN, as well acting as a VTEP. Conversely, in the upstream direction, the S-VLAN and O-VLAN tag information is added to the C-VLAN tag within the Ethernet frame at the pAN. It is important to note that VXLAN information is discarded at the switch adjacent to the A10 reference, while the S-VLAN information continues to be forwarded in the VNO’s network.

![VXLAN frame format](image)

By introducing VXLAN, instead of doing the provisioning hop by hop, only those network elements which host the VTEP are involved, and no tunnel signaling is required either. In addition, the VXLAN model is backhaul agnostic, which also removes the need to have additional physical infrastructure. The VXLAN approach for FANS can adapt to the evolution towards cloud-like infrastructure with SDN and NFV technologies.
6 Relation of FANS to the ETSI NFV architecture

As previously mentioned for the Virtual Node model, the access node functions are decoupled from the underlying physical hardware. The main principle of separating network functions from the hardware they run on is called Network Functions Virtualization (NFV).

NFV offers a simplified and more agile network with the flexibility to adjust to changing conditions. Moreover, NFV envisages the implementation of Network Functions (NFs) as software-only entities that run on a NFV Infrastructure (NFVI).

This section describes the relationship of FANS to the ETSI NFV architecture, focusing on the Virtual Access Node model, since the Management System model is not based on NFV paradigm.

6.1 Functional Domains

The ETSI ISG NFV Architectural Framework document [13] identifies three main domains:

- **Virtualized Network Functions (VNFs)** – the collection of VNFs sharing physical hardware. (AAE)
- **NFV Infrastructure (NFVI)** – includes the actual physical resources and how these can be virtualized. NFVI supports the execution of the VNFs.
- **NFV Management and Orchestration (MANO)** – covers the orchestration and lifecycle management of physical and/or software resources that support the infrastructure virtualization, and the lifecycle management of VNFs. NFV MANO focuses on all virtualization-specific management tasks necessary in the NFV framework.

![Figure 34 – High-Level NFV Framework](image)

VNFs run on top of the virtualization layer, which is part of the NFVI. In the FANS Virtual Node model (section 5.2), the VNFs are represented by vAN instances of the various VNOs.
NFV emphasizes the fact that the exact physical deployment of a VNF instance on the infrastructure is not visible from the end-to-end service perspective. However, VNF instances and their supporting infrastructure need to be visible for configuration, diagnostic and troubleshooting purposes.

The Centralized Management System is in charge of these responsibilities, while VNOs use standard interfaces to communicate with Centralized Management System for the above purposes. The end-to-end network service and the delivered behavior need to be equivalent in the virtualized and non-virtualized scenarios.

In a FANS environment, the VNO instantiates its VNF instances on top of the InP’s infrastructure to create an end-to-end network service instance.

The high-level NFV architecture (including NFVI domains) is depicted in the following Figure 35.

The NFV architecture is composed of:
- NFV Infrastructure (NFVI)
  - Hypervisor Domain
  - Compute Domain
  - Infrastructure Network Domain
- Virtualized Network Functions (VNFs)
- Element Managements (EMs)
- NFV Management and Orchestration (MANO)
It is important to note that a network operator who uses a traditional network architecture, still needs at least one management system, for instance an EMS (or an NMS), supported by an OSS system. In the NFV architecture, multiple managers (e.g., VIM Manager, VNF Manager Orchestrator and also the traditional EMS and OSS/BSS) are needed. Moreover, EM, VNFM and any other entity identified by the NFV architecture framework, may be virtualized or not.

The scope of this section is to describe the functional domains of the ETSI NFV architecture [13], as well as the main reference points between these blocks and how the Virtual Access Node model (Figure 36) is related to this architecture.

![Virtual Access Node Model](image)

**Figure 36 – Virtual Access Node Model**

### 6.1.1 NFV Infrastructure (NFVI) Domain

The NFV Infrastructure (NFVI) is composed of hardware and software components that build up the environment in which VNFs are deployed, managed and executed. The NFVI may also include partially virtualized Network Functions (NFs), in which a certain part of the functionality is virtualized while other parts remain in hardware (PNF) for performance reasons, protect investment or ease of migration. The execution environment for VNFs is provided by the NFVI deployed in various NFVI Point of Presence (NFVI-PoPs).
An NFVI-PoP represents a single geographic location where a number of computing nodes of the NFVI infrastructure are located, and it supports the deployment of VNFs in a variety configurations. The FANS environment includes multiple VNFs of different network operators (VNOs) in a multi-tenant model at one or more NFVI-PoPs. Figure 37 shows a comparison between the vAN model and ETSI NFV model, regarding the virtualized infrastructure.

Several elements are in common between the two models; the vAN model includes functionalities needed to host vANs, as well as software components common to many vANs. Moreover they provide functionality required to support deployment, interconnection, or management of vANs. The virtualized infrastructure domain of the vAN model can be easily mapped into the NFVI of ETSI NFV Model. The NFVI Infrastructure shown in Figure 37 includes:

- Hardware Resources
- Virtualization Layer and Virtualized Resources

From the VNF's perspective, the virtualization layer and the hardware resources look like a single entity providing the VNF with the desired virtualized resources. More information on NFVI domains can be found in:

- ETSI GS NFV 002 V1.2.1 [13]
- ETSI GS NFV-INF 001 V1.1.1 [12]
- ETSI GS NFV-INF 003 V1.1.1 [14]
- ETSI GS NFV-INF 004 V1.1.1 [15]
- ETSI GS NFV-INF 005 V1.1.1 [16]

### 6.1.2 Virtualized Network Functions (VNFs) Domain

A Virtualized Network Function (VNF) is a Network Function (NF) capable of running on an NFV Infrastructure (NFVI) and being orchestrated by a NFV Orchestrator (NFVO) and VNF Manager. In the NFV paradigm, the functional behavior and the external operational interfaces of a Physical Network Function (PNF) and a VNF are the same. In the Virtual Access Node model, the vAN functions match the VNFs of ETSI NFV model.
6.1.3 NFV Management and Orchestration (MANO) Domain

MANO stands for Management and Orchestration and it is the layer defined by ETSI to manage and orchestrate the virtual infrastructure and resources. NFV MANO includes three different managers:

- **Virtualized Infrastructure Manager (VIM)** – Controls and manages the NFVI compute, storage, and network resources
- **VNF Manager (VNFM)** – Oversees lifecycle management of VNF instances, coordination and adaptation role for configuration and event reporting between NFVI and E/NMS
- **NFV Orchestrator** – Responsible for on-boarding of Network Services (NS) and Virtual Network Functions (VNF), service lifecycle management, global resource management and so on

The VIM and VNFM layers together provide the VNF and resource lifecycle management capabilities. The NFVO provides the lifecycle management around the virtualized network service. Moreover, because the NFV MANO architecture is integrated in the existing legacy system using open APIs, this architecture works in a FANS scenario.

Figure 38 depicts the comparison between the Virtual Access Node model and ETSI NFV model regarding the management system infrastructure.

![Figure 38 – Comparing Management Systems domains](image)

In the FANS model, the InP is entirely in charge of managing the infrastructure and everything related to it. As shown in the previous Figure 38, NFV MANO decomposes the management and orchestration needs for the NFV architecture into three functional blocks, while the Centralized Management System (MS) is represented as a single block. At a high level, the Centralized Management System corresponds to the NFV MANO. This means that the Centralized MS in FANS model has the same behavior as the NFV MANO in the ETSI NFV model, even though it is realized via a different management system model.

More detail on NFV MANO Architecture are described in the section 6.3 of this document.
6.2 Interfaces & Reference Points

An interface is a point of interaction between two entities that can be software services, hardware services and resources, while a reference point is an architectural concept that defines and exposes an external view of a function block.

The following Figure 39 depicts a reference point architecture, showing only the NFVI Network Domain and aligning these reference points with the NFV E2E Architecture [16].

![Figure 39 – Network Domain Reference Point Architecture](image)

Some of the reference points described in Figure 35 and Figure 39, have a 1:1 relation with those in Virtual Access Node model (Figure 36).

This section describes the main reference points between the functional blocks described in the previous section 6.1 and their relation with the FANS model. For the entire set of reference points, refer to the ETSI NFV documentation.

6.2.1 NFVI - Virtualized Infrastructure Manager (Nf-Vi)

In the vAN model, the Nf-Vi reference point is applied between the InP and the access infrastructure (physical access nodes, including virtual infrastructure, and servers in datacenters) as described in [17].

6.2.2 VNF/EM - VNF Manager (Ve-Vnfm)

The vAN model uses the Ve-Vnfm reference point to support communication between the virtual functions (vAN instances) and the Centralized Management System as described in [17].
6.2.3 OSS/BSS - NFV Management and Orchestration (Os-Ma)

Similar to the Os-Ma in [17] in the vAN model, the Os-Ma-nfvo reference point supports information transfer between VNO Management System and Centralized Management System.

6.2.4 OSS/BSS – Physical Infrastructure

A reference point is needed to support communication between VNO MS and the pAN. In this scenario, NMS/EMS systems should be aware of virtualization and collaborate with the Centralized MS (via Os-Ma-nfvo reference point) to perform functions that require exchange of information on resources lifecycle. Note that this reference point is represented in the NFV reference Architectural Framework [13], but not yet specified, as shown in Figure 35. However, in BBF TR-359 [7] the “Minf” reference point is defined between OS/EM/WIM and the network elements within the physical infrastructure. This reference point has similar characteristics to the one needed in FANS architecture.

6.2.5 Virtualization Layer - Hardware Resources (Vl-Ha)

The Virtualization Layer is a key component in the ETSI NFV architectural framework. This layer abstracts and logically partitions physical hardware resources and provides anchors between the VNF and the underlying virtualized infrastructure. The Vl-Ha described in [12] is an internal reference point that interfaces the Virtualization Layer to hardware resources to create an execution environment for VNFs, and collect hardware resource state information for managing the VNFs independently from any hardware platform. This allows the decoupling of software from hardware.

6.2.6 VNF - NFV Infrastructure (Vn-Nf)

The Vn-Nf reference point described in [13] represents the execution environment used by VNFs to be executed on NFVI in ETSI NFV framework. It does not assume any specific control protocol, but in the FANS scenario, the Vn-Nf reference point uses a set of APIs to get access to the NFVI.

6.2.7 Infrastructure Network Domain - Existing Network (Ex-Nd)

The provision of connectivity between a vAN and a pAN in existing networks, requires the use of the Ex-Nd. More detail on Ex-Nd interface can be found be in [16].

6.2.8 NFV Infrastructure (Nd-Nd)

The Nd-Nd interface consists of protocols that are exposed between the NFVI-PoPs to provide connectivity between VNFs located in different NFVI-PoPs, regardless of the connectivity service provided. More detail on Nd-Nd interface can be found be in [16].
6.3 NFV MANO

The vAN model does not impose any constraint (in terms of internal module numbers) on the Centralized Management System. The Centralized Management System could be a single point of management or it could have multiple internal management modules. In any case, it is important to note that Centralized Management System and NFV MANO have the same behavior toward external systems or infrastructures.

In the FANS architecture, the Centralized Management System is the main component of the model. It is in charge of the orchestration and management of both physical infrastructure and software resources, as well as governance of those vAN instances that share resources of the FANS infrastructure. Moreover, it is responsible for the lifecycle management of the vANs, including their creation, provisioning, and monitoring.

Finally, an internal data repository provides information for the vANs deployment and operational behavior, such as vAN instantiation, lifecycle management and orchestration. The information elements to be handled by the Centralized Management System, need to support the flexible deployment and portability of vAN instances on multi-vendor environments.

The following is a non-exhaustive list of Centralized Management System functionalities:

- Resource Management
- Infrastructure Management
- Network Services Management
- Fault Management
- Capacity planning, monitoring and optimization information, performance measurement
- vAN instantiation and lifecycle management (software update/upgrade, scaling out/in and up/down)
- Network Service instantiation and lifecycle management
- Policy management
- Orchestration of both the virtual and physical infrastructure resources

More information on NFV MANO can be found in [17].
7 Technical Requirements

The following sections describe technical requirements for the FANS model as extensions of the requirements specified in TR-101 [2] and TR-178 [3].

7.1 Network Requirements

A pure Ethernet Aggregation Network is still supported in FANS and it is mainly applicable to L2 access technologies. The following network requirements are needed to support the interconnection of network operators at Ethernet access node and aggregation node level.

7.1.1 Common Requirements

[R-01] The Access Node MUST support at least one of the encapsulation models described in section 5.2.6.
[R-02] The Aggregation Node MUST support at least one of the encapsulation models described in section 5.2.6.
[R-03] The virtual Access Node MUST support at least one of the encapsulation models described in section 5.2.6.
[R-04] The virtual Aggregation Node MUST support at least one of the encapsulation models described in section 5.2.6.

7.1.2 Access Node


[R-05] The physical Access Node MUST be able to add the Operator Tag (O-Tag), the MPLS tag or the VXLAN encapsulation to the traffic flow received at the U interface when transmitting across the V-interface in the upstream direction.
[R-06] The physical Access Node MUST be able to remove the Operator Tag (O-Tag), the MPLS tag or the VXLAN encapsulation from the traffic flow transmitted at the U interface in the downstream direction.
[R-07] The physical Access Node MUST be able to associate physical ports with virtual ports for each VNO.
[R-08] The virtual ports MUST be identified through virtual port IDs. The logical/physical port ID and virtual port ID SHOULD be compatible with the syntax of access loop Identification defined in TR-101 [2].
[R-09] The InP Port Mapper MUST maintain a mapping between physical port IDs and virtual port IDs.

7.1.3 Aggregation Node

[R-010] The physical Aggregation Node closest to A10 interface MUST be able to support add the Operator Tag (O-Tag), the MPLS Tag or the VXLAN encapsulation to the traffic flow received at the A10 interface in the downstream direction.

[R-011] The physical Aggregation Node closest to A10 interface MUST be able to support remove the Operator Tag (O-Tag), the MPLS Tag or the VXLAN encapsulation from the traffic flow transmitted at the A10 interface in the upstream direction.

7.2 Functional Node Requirements

This section provides a set of requirements to support the FANS architecture defined in section 5. Unless stated otherwise, the requirements presented in TR-101 [2] and TR-178 [3] remain applicable and they are now extended with FANS specific requirements.

7.2.1 Common Requirements

[R-012] The physical Access Node MUST support the Nf-Vi interface to the Centralized Management System and Minf interface to VNO Management System.


[R-014] The physical Aggregation Node MUST support the Nf-Vi interface to the Centralized Management System and Minf interface to VNO Management System.

[R-015] The virtual Aggregation Node MUST support Ve-Vnfm interface to the Management System.

[R-016] The Access Node, the Aggregation Node, the virtual Access Node and the virtual Aggregation Node MUST support bulk pre-configuration including line-specific settings and VLAN associations, based on Operator Tag, as defined by Centralized Management System configuration.

[R-017] The Access Node and the Aggregation Node MUST support the transmission of diagnostics, status, and performance data to the Centralized Management System via Nf-Vi interface.

[R-018] The virtual Access Node and the virtual Aggregation Node MUST support the transmission of diagnostics, status, and performance data to the Centralized Management System via VeVnfm interface.

[R-019] The Access Node and the Aggregation Node MUST trigger the appropriate alarms, including, but not limited to alarms for continuity loss, packet loss, latency and jitter, by informing the Centralized Management System using the Nf-Vi interface.

[R-020] The virtual Access Node and the virtual Aggregation Node MUST trigger the appropriate alarms, including, but not limited to alarms for continuity loss, packet loss, latency and jitter, by informing the Centralized Management System using the Ve-Vnfm interface.

7.2.2 Access Node

[R-021] The FANS system MUST allow the InP to maintain and abstract the mapping of the physical nodes and physical ports in the InP’s access network into multiple virtual Access Nodes.

[R-022] The FANS system MUST restrict the scope of a VNO’s control to the VNO’s allocated virtual Access Node and its own virtual ports.

[R-023] The FANS system MUST allow the InP to allocate a subset of access network functions to a virtual Access Node based on the exposed functions from the InP crossed with the VNO’s
requirements. The subset of access network functions and corresponding protocols can be either instantiated on demand during virtual Access Node allocation, or be pre-configured in the physical Access Nodes.

[R-024] The FANS system MUST allow different services (e.g. mobile backhaul, residential, IPTV) to be provisioned using different virtual Access Nodes with different subsets of network functions in the same physical Access Node.

[R-025] The Centralized Management System MUST allow a VNO to retrieve, control and manage the state of a virtual port allocated to that VNO. The state definitions are listed below:

a) “LINKUP” MUST be valid when the physical port has no alarm/defect.
b) “LINKDOWN” MUST be valid when the physical port has an alarm/defect.
c) “PORTUP” MUST be valid when the physical port is administratively up.
d) “PORTUP” is the default state when the physical port is added, and MUST transit to LINKUP/LINKDOWN/PORTDOWN state after system power-up.
e) “PORTDOWN” MUST be valid when the physical port is administratively down and replaced with LINKUP/LINKDOWN/PORTUP as the state changes.

[R-026] The Centralized Management System MUST allow reporting of the following transient status:

a) “ADD” MUST be valid when VNO adds a virtual port.
b) “DELETE” MUST be valid when VNO deletes a virtual port.
c) “MODIFY” MUST be valid when VNO modifies a virtual port administratively up or down.

[R-027] The Centralized Management System MUST allow the following transient status to be captured by the system log:

a) “ADD”,
b) “DELETE”,
c) “MODIFY”.

[R-028] The Centralized Management System MUST synchronize the add/delete operations of virtual port mapping with the InP Port Mapper table and vice versa.

[R-029] The Centralized Management System MUST allow a VNO to delete a virtual port if and only if the virtual port is administratively PORTDOWN.

### 7.2.3 Aggregation Node

[R-030] The virtual Aggregation Node MUST be able to switch based on the MPLS tag as specified in TR-101 [2] and TR-178 [3] or the operator O-VLAN tag or the VXLAN encapsulation.

### 7.3 Centralized Management System Requirements

[R-031] In the case of the virtual Access Node deployment model, the Centralized Management System MUST support a standardized interface from the Centralized Management System in order to retrieve and to send messages autonomously to the InP Port Mapper. Specify which interface has to be mentioned.

[R-032] In the case of the Virtual Access Network deployment model, the Centralized Management System or other systems SHOULD provide proactive management of network performance issues to avoid bandwidth starvation. This management SHOULD be based on virtual Access Node and virtual Aggregation Node traffic usage.
[R-033] In the case of the Virtual Access Network deployment model, the Centralized Management System MUST provide the capability of proactive management, in order not to exceed the equipment computational and physical resources of the virtual Access Node and virtual Aggregation Node instances.
8 OAM and Other Operational Aspects

As shown in section 5, FANS clearly needs a Management Systems to provide the necessary controls to support the underlying service building blocks.

Management is defined as the set of mechanisms for provisioning new subscribers and operators, controlling network feature delivery, detecting and addressing networking and application troubles. (AAE)

Many of the management and policy functions that a network operator (InP) has to perform in providing their services are also useful functions that can be exposed to other operators (VNOs) which have business relationships with the InP.

Current OSS/BSS systems need to be assessed with respect to their capability to move from current to dynamic operations. In case of OSS, this includes OSS service fulfillment, inventory and assurance stacks and can be done by consolidating and automating where possible, and by ensuring OSS readiness for dynamic process support. These steps are required in order to play a part in the target OSS for NFV and SDN and to be ready for dynamic operations.

The introduction of the virtualization concept requires a mix of new and existing solutions for management and security with common interfaces and mechanisms. Based on this, functions can be virtualized when and where it makes sense without affecting the overall framework or processes.

The following sections describe the OAM and other operational aspects as applied to FANS.

8.1 Ethernet OAM

Supporting new services and their underlying network features requires a new set of network management and control interfaces, since each VNO in the FANS architecture has the possibility of choosing their own OAM scheme based on the capabilities of the InP equipment.

In an Ethernet aggregation network, ITU-T Rec. G.8013/Y.1731 [18] and IEEE 802.1ag [19] (so-called CFM: Connectivity Fault Management) standards enable end-to-end service OAM functions to one or more operators networks:

- **ITU-T Rec. G.8013/Y.1731** [18] monitors the performance of Ethernet services on both Ethernet Virtual Connection (EVC) and Class of Service (CoS) basis for the Service Level Agreement (SLA) assurance
- **IEEE 802.1ag** [19] improves reliability with OAM tools for instant fault notification and rapid fault isolation

End-to-end Service OAM spans the entire Ethernet network between demarcation points at each customer location (UNI to UNI). Ethernet OAM frames are forwarded on the same route as the user Ethernet flow.

It should be noted that no new requirement is needed for the Residential Gateway (RG) or MS-BNG in the FANS architecture because these nodes already support existing requirements for OAM.
Furthermore, the Ethernet OAM model for FANS has to be compliant with the multiple maintenance levels already leveraged in both TR-101 [2], TR-178 [3] and later architectures, to support the ability for each operator to handle their own OAM scheme, independently of the underlying transport and/or virtualization technology.

The Management System model (5.1), does not introduce any change in the existing OAM, while for the virtual Access Node model (5.2) the OAM has to support the introduction of virtual Access Node (vAN) and virtual Aggregation Node (vAggN).

Figure 41 and Figure 42 depict the OAM model in the case of InP and VNO management respectively for the FANS architecture.

As shown in the above figures, each stakeholder in FANS has its own set of OAM functionality. InP supports Maintenance Points (MPs) only at Intra-Carrier level, between physical Access Node and physical Aggregation Node, while the VNO at Customer, Carrier, Intra-Carrier and Access Link levels, on a per VLAN basis. Thus, a VNO is in charge of monitoring the end-to-end service while InP provides the monitoring of the service transport across its network.
8.2 Other Operational Aspects

The relationship between InP and VNO is a business to business relationship and eTOM model is described in [21].

8.2.1 Customer Relationship Management

Customer Relationship Management (CRM) systems allow operators to manage business relationships, data and information associated with them. In general, CRM processes (Figure 43) cover customer service and support, whether storefront, telephone, web or field service. They are also concerned with retention management, cross-selling, up-selling and direct marketing for the purpose of selling to customers.

![Figure 43 – eTOM CRM Processes](image)

<table>
<thead>
<tr>
<th>Actors &amp; Involved Processes - CRM</th>
</tr>
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<tbody>
<tr>
<td><strong>InP</strong></td>
</tr>
<tr>
<td>Marketing Fulfillment Response</td>
</tr>
<tr>
<td>Selling</td>
</tr>
<tr>
<td>Problem handling</td>
</tr>
<tr>
<td>Sales &amp; Channel Management</td>
</tr>
<tr>
<td>CRM Operations Readiness</td>
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<tr>
<td>Retention &amp; Loyalty</td>
</tr>
<tr>
<td>Order Handling</td>
</tr>
<tr>
<td>Customer Interface Management</td>
</tr>
<tr>
<td>Billing &amp; Collections Management</td>
</tr>
</tbody>
</table>

Table 1 – Actors & Involved Processes: CRM
Table 1 shows the processes in which InP and VNO are involved. Note that some of these processes involve both parties, this means that an operator has to expose at least an interface toward the other one, to communicate information or operating instructions.

### 8.2.2 Service Management and Operations

Service Management & Operations (SM&O) focus on the knowledge of services (Access, Connectivity, Content, etc.) and include all the functionalities needed for the management and operation of communications and information services required by customers. The scope is service delivery and management as opposed to the management of the underlying network and information technology. These processes are accountable to the business management layer function of product management (the profit and loss accountability) to meet, at a minimum, targets for Service Quality, including process performance and customer satisfaction at a service level, as well as Service Cost.

![Figure 44 – eTOM SM&O Processes](image)

Table 2 shows the processes in which InP and VNO are involved as actors. Note that some of these processes involve both parties, this means that an operator has to expose at least an interface toward the other one, to communicate information or operating instructions.

<table>
<thead>
<tr>
<th>Actors &amp; Involved Processes - SM&amp;O</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>InP</strong></td>
</tr>
<tr>
<td>SM&amp;O Readiness</td>
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<tr>
<td></td>
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<tr>
<td>Service Problem Management</td>
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</tbody>
</table>

Table 2 – Actors & Involved Processes: SM&O

### 8.2.3 Resource Management and Operations

Resource Management & Operations (RM&O) maintains knowledge of resources (application, computing and network infrastructures) and is responsible for the direct management of all these
resources (e.g., networks, IT systems, servers, routers, etc.) used to deliver and support services required by customers. It is also responsible for ensuring that the network and information technologies infrastructure supports the end-to-end delivery of the required services.

Table 3 shows the processes in which InP and VNO are involved as actors. Note that some of these processes involve both parties, this means that an operator has to expose at least an interface toward the other one, to communicate information or operating instructions.

<table>
<thead>
<tr>
<th>Actors &amp; Involved Processes - RM&amp;O</th>
<th>InP</th>
<th>VNO</th>
</tr>
</thead>
<tbody>
<tr>
<td>RM&amp;O Readiness</td>
<td>RM&amp;O Readiness</td>
<td></td>
</tr>
<tr>
<td>Resource Provisioning &amp; Allocation to Service Instance</td>
<td>Resource Provisioning &amp; Allocation to Service Instance</td>
<td></td>
</tr>
<tr>
<td>Resource Problem Management</td>
<td>Resource Problem Management</td>
<td></td>
</tr>
<tr>
<td>Resource Data Collection, Analysis &amp; Control</td>
<td>Resource Data Collection, Analysis &amp; Control</td>
<td></td>
</tr>
<tr>
<td>Re/Configure the Resource</td>
<td>Re/Configure the Resource</td>
<td></td>
</tr>
</tbody>
</table>

Figure 45 – eTOM RM&O Processes

Table 3 – Actors & Involved Processes: RM&O
9 Privacy and Security

Privacy involves the need to ensure that information to, from and between customers can only be accessed by those who have the right to do so. In general, two ways to ensure privacy can be recognized:

- preventing data, from being copied to a non-intended destination
- encrypting data, so that it cannot be understood even if it is intercepted

Security is a complex issue but many threats can be easily identified. They are based on a risk assessment of the network, history of attacks against similar networks, or a combination of both. The overall security solution should include measures for physical security that include anti-theft, anti-physical-damage, and anti-data-snooping/stealing measures.

In FANS, privacy mainly involves the isolation of end users’ networks and VNOs’ networks. This means that each end user network and VNO network is isolated from all other networks that are deployed using the same physical network. InP and VNOs have to adapt their operating procedures and systems to guarantee the end users’ isolation and to prevent access to an end users network by unauthorized users. The InP as the owner of the shared infrastructure, must meet the following requirements:

- guarantee the isolation of the entire infrastructure, preventing access by unauthorized users
- respect the VLAN isolation for the VNOs

This document does not define any specific mechanisms to support lawful intercept, but this feature is not precluded since FANS maintains backward compatibility with existing architectures.

In Ethernet-based access networks, network security plays a significant role for the network operators. The FANS architecture does not introduce any additional vulnerabilities over those of standard Ethernet bridging, at least regarding the physical architecture. The FANS architecture runs on the physical infrastructure and thus, security relies on the mechanisms used for physical infrastructure, as defined in TR-101 [2] and TR-178 [3]. Hardware and physical environment security is essential. If physical security cannot be ensured, attackers may get access to sensitive data by exploiting vulnerabilities in physical security.

On the other hand, in the FANS virtual Access Node model (5.2.1), the introduction of virtualization leads to complex security implications, but it can also provide a better isolation of both end users, VNOs and operators networks.

In practice network virtual partitions have limited vulnerability to outside attacks, since outsiders cannot inspect or inject packets within a virtual network partition from the outside.

Being based on Ethernet access network, a first level of privacy and security in the FANS architecture can be established through three approaches, according to what is described in section 5.2.6:

- Operator VLAN (O-VLAN) tunnels
- MPLS tunnels
- VXLAN tunnels
These techniques support isolation of the traffic from different VNOs. A VNO can thus maintain its existing VLAN mapping for its own customer base, solving both privacy and security issues.

It is important to note that in the FANS virtual Access Node model (5.2.1), security aspects have to be evaluated in a different way compared with traditional networks, since a virtualized environment could be exposed to external attacks that are not expected within the traditional network architectures.

The introduction of virtualization requires a mix of new and existing solutions for management and security with common interfaces and mechanisms:

- Network Security – including anti DDoS for vAN, security transport, etc.
- Virtualization System Security – including CPU/memory/disk/IO isolation, hypervisor scheduling mechanisms, anti-virus system, etc.
- Application Security – including data storage security, login security, management security, etc.

Security of the Centralized Management System is an important part of the overall security solution in FANS. To protect it against unauthorized intrusion and misuse, it is essential to restrict user access to the management interface and enforce access security policies like setting up password restrictions.

Best practice would be to divide into different domains. Domains are defined based on different service levels for easy management of network security, which shows a clearer structure of the network. When an attack occurs, it will be isolated in the domain.

Moreover, it is important to cover the security of interfaces. The information exchanged through the northbound or the southbound interfaces are important and thus should be secured properly. For this reason, the following aspects need to be considered:

- Authentication
- Authorization (how access rights are determined and managed)
- Privacy
- Auditing (how valid and denied accesses are logged and how these records are made available to those entitled to access them)

In general, the security of the infrastructure network domain, hypervisor domain, compute domain and network application domain should leverage the applicable security guidelines outlined in the existing standards development organizations and industry forums.
Appendix I. Access Technologies (Informative)

The scope of this annex is to give some information on how to implement the FANS architecture in different access technologies, like FTTC/B/dp/H. This helps developers to better understand the impact of FANS in the different components of the access architecture.

Fibre to the x (FTTx) is a term which represents various optical fiber delivery topologies that are categorized according to where the fiber terminates in the connection of Subtending Access Nodes. FTTx specifies the level of penetration of Optical Network Unit (ONU) in the last-mile access networks. For the scope of the FANS, the following technologies will be considered:

- **FTTC (Fibre To The Curb/Cabinet)** – It extends the optical infrastructure from the office, which is typically at the site of the MDF (Main Distribution Frame), to the Street Cabinet (SC) or to a cluster of them.
- **FTTdp (Fibre To The distribution point)** – It is very similar to FTTC but in this scenario the fiber infrastructure terminates closer to the boundary of the customer premise.
- **FTTB (Fibre To The Building)** – Also this architecture is very similar to FTTC and FTTdp, where the fiber infrastructure terminates at the basement of a multi-dwelling unit and each apartment is connected by using existing copper infrastructure.
- **FTTH (Fibre To The Home)** – It is realized when the fiber network is available directly to the customer site (e.g., fiber is terminated within the customers apartment or office space). In this case the ONU is called Optical Network Termination (ONT).

The following Figure 46 depicts a typical legacy access architecture with different FTTx options, such as FTTCab, FTTB/C and FTTH.

A Passive Optical Network (PON) Optical Line Terminator (OLT) provides termination of multiple customer broadband and telecommunications endpoints and serves as a first-level aggregation point. Multiple OLTs could be aggregated together by an Ethernet aggregation switch, which in turn forwards the traffic to a broadband network gateway.
In the figure, the reach indicated as “Fibre” represents the Optical Access Network (OAN) and shows that both ONT/NT and OLT have an interface (respectively UNI and SNI) that depends on which services are provided by the operator.

The OLT and ONU share the responsibility for Access Node VLAN requirements as specified in TR-101 [2] and TR-178 [3]. In detail:

- ONU assumes the responsibility of ingress traffic classification for the U interface. ONUs potentially terminate multiple services and may have different types of U interfaces
- OLT assumes the responsibility of ingress traffic classification for the V interface. The OLT is the first aggregation point in a PON access scenarios

TR-101 [2] specifies 3 different VLAN architectures:
- Residential/Business 1:1
- Residential/Business N:1
- Business TLS

These models are also supported in FANS scenario. Examples are shown in the following Figure 47, Figure 48 and Figure 49 in the case of a GPON network.

The above Figure 47 depicts a 1:1 VLAN architecture. The ONT maps each 1:1 VLAN into a unique U interface. The traffic at V interface in upstream direction could be double-tagged or single-tagged:

- For double-tagged VLANs, the ONT:
  - can either assign a C-VLAN ID or translate a C-VLAN ID, while the OLT adds the S-VLAN ID and the O-VLAN ID (Subscriber 1 and Subscriber 4)
  - can assign S-C VLAN IDs to incoming traffic, while the OLT adds the O-VLAN ID and passes through the traffic (Subscriber 2 and Subscriber 5)
- For single-tagged VLAN, the ONT adds the S-VLAN ID or translates an incoming tag to S-VLAN ID, while the OLT adds the O-VLAN ID and passes through the traffic (Subscriber 3 and Subscriber 6)
In the downstream direction, the OLT removes the outer tags or passes through the traffic to proper GEM port (based on the S-tag value and priority bits). The ONT removes the tags and forwards frames from the GEM port to its associated U interface.

![Figure 48 – FANS N:1 VLAN Architecture Example](image)

For N:1 model, the ONT adds the S-VLAN ID or translate an incoming tag to S-VLAN ID for upstream traffic. The OLT adds the O-VLAN ID and will pass-through any upstream traffic with S-VLAN ID on them.

In the downstream direction, the OLT passes through the traffic with O-VLAN ID and S-VLAN ID to the ONT by determining GEM Port (based on MAC address and priority bits). The ONT will remove the S-tag and forward frames from the GEM Port to appropriate U interface.

For TLS VLAN model, the ONU maps each U interface into one or more unique S-VLANs. In this model there are two mutually exclusive methods of subscriber tag assignment:

- Single-tagged, priority-tagged or untagged subscriber packets
- Double-tagged subscriber packets

In the first method a S-Tag is added at the ONU for upstream traffic and is passed through at the OLT, in addition to the O-VLAN ID added by OLT. In the downstream direction, the OLT passes the packet through again, and the S-Tag is removed at the ONU before forwarding traffic to the U interface. For this method, the subscriber can identify optional non-TLS VLANs with specific Q-Tags.

In the second method, frames with valid S-Tags are accepted and may be translated to new values at the ONU. Frames with invalid S-Tags are silently discarded. In both directions the frames are passed through the OLT, in addition to the O-VLAN ID added by OLT.
Figure 49 – FANS TLS VLAN Architecture Example
Figure 50 describes the mapping of physical ports to virtual ports in case of FTTC/FTTdp/FTTB. It can be noted that in this case the approach is based on Shared ONU as the same ONU includes connections of multiple virtual operators.

Figure 50 – Customers management in FANS FTTC/FTTdp/FTTB architectures

Figure 51 – Customers management in FANS FTTH architecture
As shown in Figure 50, the InP Port Mapper Table contains one-to-one relationships between physical port IDs and virtual port IDs, while each vAN instance, as mentioned in section 5.2.1, provides similar functions to those of a physical AN (pAN), thus maintaining information on the customer connected and the port (virtual) on which the customer is terminated.

Figure 51 describes the mapping of physical ports to virtual ports in case of FTTH. It can be noted that in this case the approach is based on Dedicated ONU as each ONU is owned and managed by a single virtual operator. Thus, the InP Port Mapper Table contains one-to-one relationships between GEM Port IDs and Virtual Port IDs, while the relationship table for each vAN instance is similar to the previous case (Shared ONU).